

# The Gauge Group and Perturbation Semigroup of an Operator System

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**Abstract.** The perturbation semigroup was first defined in the case of  $*$ -algebras by Chamseddine, Connes and van Suijlekom. In this paper, we take  $\mathcal{E}$  as a concrete operator system with unit. We first give a definition of gauge group  $\mathcal{G}(\mathcal{E})$  of  $\mathcal{E}$ , after that we give the definition of perturbation semigroup of  $\mathcal{E}$ , and the closed perturbation semigroup of  $\mathcal{E}$  with respect to the Haagerup tensor norm. We also show that there is a continuous semigroup homomorphism from the closed perturbation semigroup to the collection of unital completely bounded Hermitian maps over  $\mathcal{E}$ . Finally we compute the gauge group and perturbation semigroup of the Toeplitz system as an example.

*Key words:* operator algebras; operator systems; functional analysis; noncommutative geometry

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## 1 Introduction

An operator system  $\mathcal{E}$  is a matrix-normed vector space equipped with a conjugate linear map  $x \mapsto x^*$  on  $\mathcal{E}$  such that  $(x^*)^* = x$  for all  $x \in \mathcal{E}$ . Although there is not a well-defined product of elements in  $\mathcal{E}$ , we can embed the operator system  $\mathcal{E}$  into some  $C^*$ -algebra  $\mathcal{A}$ , and then take the gauge group of  $\mathcal{E}$  as the collection of unitary elements of  $\mathcal{A}$  that keep  $\mathcal{E}$  invariant under the unitary transformation, i.e.,

$$\mathcal{G}(\mathcal{E}) := \{u \in \mathcal{A} : u^* \mathcal{E} u = \mathcal{E}\}.$$

There are several different approaches to embed  $\mathcal{E}$  into a  $C^*$ -algebra, for instance, we can embed  $\mathcal{E}$  into the  $C^*$ -envelope  $C_{\text{en}}^*(\mathcal{E})$ , the injective envelope  $C_{\text{in}}^*(\mathcal{E})$ , or simply the  $C^*$ -algebra  $C^*(\mathcal{E})$  generated by  $\mathcal{E}$  when  $\mathcal{E}$  is a concrete operator system. In this paper, we take  $\mathcal{E}$  to be a concrete closed operator system with unit, i.e., a closed linear subspace of bounded operators on some Hilbert space  $\mathcal{H}$  with  $\text{Id} \in \mathcal{E} \subset B(\mathcal{H})$ , and we embed  $\mathcal{E}$  into  $C^*(\mathcal{E})$ . In Section 2, we show that there is a group homomorphism from  $\mathcal{G}(\mathcal{E})$  to the set of unital completely positive maps on  $\mathcal{E}$ . In Section 4.1, we show that the gauge group  $\mathcal{G}(\text{Toep}_n)$  of Toeplitz system  $\text{Toep}_n$  is independent of  $n$ , and

$$\mathcal{G}(\text{Toep}_n) \cong U(1) \times (U(1) \times \mathbb{Z}_2).$$

Inspired by the definition of perturbation semigroup of  $*$ -algebras given in [3], the perturbation semigroup of matrix algebras [10] and  $C^*$ -algebras [8], in Section 3, we give the definition of the perturbation semigroup  $\text{Pert}(\mathcal{E})$  of an operator system  $\mathcal{E}$ . More than that, since the perturbation semigroup  $\text{Pert}(\mathcal{E})$  is a subset of  $\mathcal{A} \otimes \mathcal{A}^\circ$ , we can take the closure of  $\text{Pert}(\mathcal{E})$  with respect to the Haagerup tensor norm, and we can show that there is a continuous semigroup homomorphism from this closure of  $\text{Pert}(\mathcal{E})$  to the collection of unital completely bounded Hermitian maps on  $\mathcal{E}$ .

In Section 4.2, we discuss the perturbation semigroups  $\text{Pert}(\text{Toep}_n)$  of Toeplitz system  $\text{Toep}_n$  in more detail. We show the relationship between an element  $\omega \in \text{Pert}(\text{Toep}_n)$  and the corresponding  $(2n-1) \times (2n-1)$  transformation matrix of Toeplitz system  $\text{Toep}_n$  under the fundamental basis  $\{\tau_{-n+1}, \dots, \tau_0, \dots, \tau_{n-1}\}$  of  $\text{Toep}_n$ .

## 2 Gauge group of an operator system

Let  $\mathcal{H}$  be a separable Hilbert space, we denote by  $B(\mathcal{H})$  the set of bounded operators on  $\mathcal{H}$ ,  $\mathcal{E} \subset B(\mathcal{H})$  an operator system,<sup>1</sup> and  $C^*(\mathcal{E})$  the  $C^*$ -algebra generated by  $\mathcal{E}$ . We are mainly interested in the unital completely positive (UCP) maps over  $\mathcal{E}$ . According to Arveson's extension theorem [1, 11], if  $\varphi: \mathcal{E} \rightarrow \mathcal{E}$  is a UCP map, then there is a UCP map  $\tilde{\varphi}: B(\mathcal{H}) \rightarrow B(\mathcal{H})$  such that  $\tilde{\varphi}|_{\mathcal{E}} = \varphi$ . In addition, if  $\tilde{\varphi}$  is normal,<sup>2</sup> according to Kraus [9, Theorem 3.3 or Theorem 4.1], the map  $\tilde{\varphi}$  can be written as

$$\tilde{\varphi}(x) = \sum_k V_k^* x V_k, \quad \forall x \in B(\mathcal{H}),$$

for some operators  $\{V_k\}_{k \in K} \subset B(\mathcal{H})$  such that  $\sum V_k^* V_k = \text{Id}$ . Hence especially when  $U \in C^*(\mathcal{E})$  is a unitary element satisfying  $U^* \mathcal{E} U \subset \mathcal{E}$  the corresponding map  $\varphi: x \mapsto U^* x U$  is a UCP map over  $\mathcal{E}$ .

We denote by  $\text{UCP}(\mathcal{E})$  the collection of all the unital completely positive maps, and  $\text{UCP}_{\text{rank}=1}(\mathcal{E})$  the collection of rank-1 unital completely positive maps, i.e.,

$$\text{UCP}_{\text{rank}=1}(\mathcal{E}) := \{\varphi: \mathcal{E} \rightarrow \mathcal{E} \mid \varphi(\cdot) = V^*(\cdot)V \text{ for some } V \in B(\mathcal{H}) \text{ with } V^*V = \text{Id}\}.$$

We realize that both  $\text{UCP}(\mathcal{E})$  and  $\text{UCP}_{\text{rank}=1}(\mathcal{E})$  are semigroups with respect to the map composition.

**Definition 2.1.** We define the gauge group  $\mathcal{G}(\mathcal{E})$  of  $\mathcal{E}$  as

$$\mathcal{G}(\mathcal{E}) := \{U \in \mathcal{U}(C^*(\mathcal{E})) \mid U^* \mathcal{E} U = \mathcal{E}\},$$

here  $\mathcal{U}(C^*(\mathcal{E}))$  denotes the group of all the unitary elements in  $C^*(\mathcal{E})$ .

**Remark 2.2.** If  $\varphi(\cdot) = V^*(\cdot)V \in \text{UCP}_{\text{rank}=1}(\mathcal{E})$ , then  $V \in B(\mathcal{H})$  is an isometry. In particular, if  $\mathcal{E} \subset M_n(\mathbb{C})$  is a finite dimensional operator system, then  $V$  is a unitary matrix and  $\text{UCP}_{\text{rank}=1}(\mathcal{E})$  is a group.

**Proposition 2.3.** *There is a multiplicative map  $\Psi: \mathcal{G}(\mathcal{E}) \rightarrow \text{UCP}_{\text{rank}=1}(\mathcal{E})$  defined as*

$$\Psi: U \mapsto U^*(\cdot)U, \quad U \in \mathcal{G}(\mathcal{E}).$$

We observe that the image of  $\Psi$  forms a group and the map  $\Psi: \mathcal{G}(\mathcal{E}) \rightarrow \text{Image}(\Psi)$  is a surjective group homomorphism.

## 3 Perturbation semigroup of an operator system

In this section, we discuss unital completely bounded Hermitian(UCBH) maps and the perturbation semigroup of a concrete unital operator system  $\mathcal{E} \subset B(\mathcal{H})$ .

<sup>1</sup>Please check Appendix A for more details.

<sup>2</sup>Please check Appendix A for the definition of normal map.

**Definition 3.1.** We say  $\Psi: \mathcal{E} \rightarrow \mathcal{E}$  is a Hermitian unital map if  $\Psi(x^*) = \Psi(x)^*$  for all  $x \in \mathcal{E}$  and  $\Psi(\text{Id}) = \text{Id}$  for the unital element  $\text{Id} \in \mathcal{E}$ . We denote by  $\text{UCBH}(\mathcal{E})$  the collection of all unital completely bounded Hermitian maps over  $\mathcal{E}$ , i.e.,

$$\text{UCBH}(\mathcal{E}) := \{ \Psi: \mathcal{E} \rightarrow \mathcal{E} \mid \Psi(x^*) = \Psi(x)^*, \Psi(\text{Id}) = \text{Id}, \Psi \text{ is completely bounded} \}.$$

Inspired by the definition of perturbation semigroups introduced in [3, 8, 10], we define the perturbation semigroup  $\text{Pert}(\mathcal{E})$  of an operator system as follows:

**Definition 3.2.** Let  $\mathcal{E}$  be an operator system,  $C^*(\mathcal{E})$  be the  $C^*$ -algebra generated by  $\mathcal{E}$  and  $C^*(\mathcal{E})^\circ$  be the opposite algebra of  $C^*(\mathcal{E})$ . We define the perturbation semigroup  $\text{Pert}(\mathcal{E})$  as the collection of all the finite sums of the form  $\sum a_i \otimes b_i \in C^*(\mathcal{E}) \otimes C^*(\mathcal{E})^\circ$  satisfying the following requirements:

- 1)  $\sum a_i b_i = \text{Id}$ ,
- 2)  $\sum a_i \mathcal{E} b_i \subset \mathcal{E}$ ,
- 3)  $\sum a_i \otimes b_i^\circ = \sum b_i^* \otimes a_i^{*\circ}$ .

**Remark 3.3.** In the definition above, the opposite algebra  $C^*(\mathcal{E})^\circ$  contains the same elements and addition operation as  $C^*(\mathcal{E})$ , while the multiplication order is reversed. And it is worth to observe that (1) and (3) inherit from the original definition of perturbation semigroup in [3], while (2) is an extra condition we need to assume in our case of operator system.

For each  $(a, b^\circ) \in C^*(\mathcal{E}) \times C^*(\mathcal{E})^\circ$ , let  $\delta_{(a, b^\circ)}$  denote the completely bounded linear map on  $C^*(\mathcal{E})$  in which  $\delta_{(a, b^\circ)}(\xi) = a\xi b$ , for all  $\xi \in C^*(\mathcal{E})$ . Let  $\text{CB}(C^*(\mathcal{E}))$  denote the set of all completed bounded maps over  $C^*(\mathcal{E})$ . The map  $C^*(\mathcal{E}) \times C^*(\mathcal{E})^\circ \rightarrow \text{CB}(C^*(\mathcal{E}))$  that sends each  $(a, b^\circ) \in C^*(\mathcal{E}) \times C^*(\mathcal{E})^\circ$  to  $\delta_{(a, b^\circ)} \in \text{CB}(C^*(\mathcal{E}))$  is bilinear and therefore extends to a linear map  $\Psi: C^*(\mathcal{E}) \otimes_{\text{alg}} C^*(\mathcal{E})^\circ \rightarrow \text{CB}(C^*(\mathcal{E}))$ .

The perturbation semigroup  $\text{Pert}(\mathcal{E})$  is a subset of  $C^*(\mathcal{E}) \otimes_{\text{alg}} C^*(\mathcal{E})^\circ$ , and so we define the map  $\Phi: \text{Pert}(\mathcal{E}) \rightarrow \text{CB}(C^*(\mathcal{E}))$  by  $\Phi = \Psi|_{\text{Pert}(\mathcal{E})}$ . Proposition 3.4 below shows that  $\Phi$  is a semigroup homomorphism of  $\text{Pert}(\mathcal{E})$  into  $\text{UCBH}(\mathcal{E})$ .

**Proposition 3.4.** *There is a semigroup homomorphism  $\Phi$  from  $\text{Pert}(\mathcal{E})$  to  $\text{UCBH}(\mathcal{E})$  defined by*

$$\begin{aligned} \Phi: \text{Pert}(\mathcal{E}) &\rightarrow \text{UCBH}(\mathcal{E}), \\ \omega &\mapsto \sum a_i(\cdot)b_i \end{aligned}$$

with  $\omega = \sum a_i \otimes b_i^\circ \in \text{Pert}(\mathcal{E})$ .

**Proof.** According to the definition of  $\text{Pert}(\mathcal{E})$  any element  $\omega \in \text{Pert}(\mathcal{E})$  can be written as  $\omega = \sum a_i \otimes b_i^\circ = \sum b_i^* \otimes a_i^{*\circ}$ , thus  $\Phi(\omega)$  is a Hermitian map. The assumption that  $\sum a_i b_i = \text{Id}$  confirms  $\Phi(\omega)$  is unital. Since there are only finitely many terms in the expression of the sum

$$\Phi(\omega): x \mapsto \sum a_i x b_i, \quad \forall x \in \mathcal{E},$$

hence it is completely bounded due to [11, Chapter 8].

Finally we shall show that the map  $\Phi: \text{Pert}(\mathcal{E}) \rightarrow \text{UCBH}(\mathcal{E})$  is a semigroup homomorphism. Let  $\omega = \sum a_i \otimes b_i^\circ$  and  $\tilde{\omega} = \sum \tilde{a}_j \otimes \tilde{b}_j^\circ$  be two elements in  $\text{Pert}(\mathcal{E})$ , we have that  $\omega\tilde{\omega} = \sum a_i \tilde{a}_j \otimes (\tilde{b}_j b_i)^\circ$ , and by Definition 3.2

$$\Phi(\omega\tilde{\omega})(x) = \sum a_i \tilde{a}_j x \tilde{b}_j b_i = \sum_i a_i \left( \sum_j \tilde{a}_j x \tilde{b}_j \right) b_i \quad \text{for any } x \in \mathcal{E},$$

thus  $\Phi(\omega\tilde{\omega}) = \Phi(\omega)\Phi(\tilde{\omega})$  for  $\omega, \tilde{\omega} \in \text{Pert}(\mathcal{E})$ . ■

We can move one step further by equipping the semigroup  $\text{Pert}(\mathcal{E})$  with the Haagerup tensor norm so that  $\Phi$  can be extended to the closure of  $\text{Pert}(\mathcal{E})$ . Recall that the Haagerup tensor norm<sup>3</sup>  $\|u\|_h$  of an element  $u \in C^*(\mathcal{E}) \otimes C^*(\mathcal{E})^\circ$  is defined as

$$\|u\|_h = \inf \left\{ \left\| \sum a_i a_i^* \right\|^{1/2} \left\| \sum b_i^* b_i \right\|^{1/2} \right\},$$

where the infimum is taken over all the expressions of  $u = \sum a_i \otimes b_i^\circ$  for  $a_i, b_i \in C^*(\mathcal{E})$ . Here we omit the opposite algebra structure. Since  $\text{Pert}(\mathcal{E})$  is a subset of  $C^*(\mathcal{E}) \otimes C^*(\mathcal{E})^\circ$ , we can endow  $\text{Pert}(\mathcal{E})$  with the metric topology induced by the Haagerup tensor norm  $\|\cdot\|_h$ .

**Definition 3.5.** We define the closed perturbation semigroup  $\overline{\text{Pert}(\mathcal{E})}$  as the closure of  $\text{Pert}(\mathcal{E})$  with respect to the topology induced by Haagerup tensor norm  $\|\cdot\|_h$ .

**Proposition 3.6.** *Let  $\mathcal{E} \subset B(\mathcal{H})$  be a unital operator system, the map  $\Phi: \text{Pert}(\mathcal{E}) \rightarrow \text{UCBH}(\mathcal{E})$  can be extended to a map*

$$\tilde{\Phi}: \overline{\text{Pert}(\mathcal{E})} \rightarrow \text{UCBH}(\mathcal{E}),$$

such that  $\tilde{\Phi}|_{\text{Pert}(\mathcal{E})} = \Phi$ . Moreover, if we equip  $\overline{\text{Pert}(\mathcal{E})}$  and  $\text{UCBH}(\mathcal{E})$  with the metric topology induced by Haagerup tensor norm  $\|\cdot\|_h$  and complete bound norm  $\|\cdot\|_{cb}$  respectively, the map  $\tilde{\Phi}$  is contractive.

**Proof.** By Definition 3.2  $\text{Pert}(\mathcal{E})$  is a subset of  $C^*(\mathcal{E}) \otimes_{\text{alg}} C^*(\mathcal{E})$ . Take an element  $\omega = \sum a_i \otimes b_i^\circ \in \text{Pert}(\mathcal{E})$ , we define a map  $\tilde{\Phi}: \text{Pert}(\mathcal{E}) \rightarrow \text{CB}(B(\mathcal{H}))$  as  $\tilde{\Phi}(\omega): T \mapsto \sum a_i T b_i$  for  $T \in B(\mathcal{H})$ . According to [12, Theorem 5.12], the map  $\tilde{\Phi}$  is completely isometric if we equip with  $\omega$  the Haagerup norm and  $\tilde{\Phi}(\omega)$  the completely bounded norm. If we can take the closure  $\overline{\text{Pert}(\mathcal{E})}$ , we get a map from  $\overline{\text{Pert}(\mathcal{E})}$  to  $\text{CB}(B(\mathcal{H}))$ , which we still denote as  $\tilde{\Phi}$ . By our definition of  $\tilde{\Phi}$ , we observe that  $\tilde{\Phi}|_{\text{Pert}(\mathcal{E})} = \Phi$ , hence we only need to show that the image of  $\tilde{\Phi}$  is contained in  $\text{UCBH}(\mathcal{E})$ .

Take a sequence of  $\{\omega_n\}_{n \geq 1} \subset \text{Pert}(\mathcal{E})$  that approaches to some  $\omega \in \overline{\text{Pert}(\mathcal{E})}$ . Since

$$\tilde{\Phi}(\omega_n)(\text{Id}) = \Phi(\omega_n)(\text{Id}) = \text{Id},$$

we obtain that  $\tilde{\Phi}(\omega)$  is a unital map. Similarly, since for each  $\omega_n$  the map  $\Phi(\omega_n)$  is Hermitian, we conclude that  $\tilde{\Phi}(\omega)$  is Hermitian. Hence we only need to show that for any  $x \in \mathcal{E}$ ,  $\tilde{\Phi}(\omega)(x) \in \mathcal{E}$ .

In fact, for any  $\epsilon > 0$ , there exists an  $N > 0$  such that when  $n \geq N$  we have  $\|\omega_n - \omega\|_h < \epsilon$ . Besides that, according to [12, Theorem 5.12], if we regard  $\tilde{\Phi}(\omega_n) - \tilde{\Phi}(\omega)$  as a map on  $B(\mathcal{H})$  we can obtain that  $\|\tilde{\Phi}(\omega_n) - \tilde{\Phi}(\omega)\|_{cb} = \|\omega_n - \omega\|_h$ , since  $\mathcal{E} \subset B(\mathcal{H})$ . For the restriction of  $\tilde{\Phi}(\omega_n) - \tilde{\Phi}(\omega)$  to  $\mathcal{E}$  we obtain  $\|\tilde{\Phi}(\omega_n) - \tilde{\Phi}(\omega)\|_{cb} \leq \|\omega_n - \omega\|_h$ . Hence

$$\|\tilde{\Phi}(\omega_n) - \tilde{\Phi}(\omega)\| \leq \|\tilde{\Phi}(\omega_n) - \tilde{\Phi}(\omega)\|_{cb} \leq \|\omega_n - \omega\|_h < \epsilon.$$

Thus if we take an  $x \in \mathcal{E}$ , we have

$$\frac{\|\tilde{\Phi}(\omega_n)(x) - \tilde{\Phi}(\omega)(x)\|}{\|x\|} < \epsilon.$$

Therefore  $\tilde{\Phi}(\omega_n)(x) \rightarrow \tilde{\Phi}(\omega)(x)$ . So that by closedness of  $\mathcal{E}$  we obtain that  $\tilde{\Phi}(\omega)(x) \in \mathcal{E}$ .

Hence for an element  $\omega \in \overline{\text{Pert}(\mathcal{E})}$ , we can consider  $\tilde{\Phi}(\omega)$  as either an element of  $\text{UCBH}(B(\mathcal{H}))$  or an element of  $\text{UCBH}(\mathcal{E})$ . However, since  $\mathcal{E} \subset B(\mathcal{H})$  is a subset, if we regard  $\tilde{\Phi}(\omega)$  as a element in  $\text{UCBH}(\mathcal{E})$ , the completely bounded norm of  $\tilde{\Phi}(\omega)$  is less than or equal to the completely bounded norm of  $\tilde{\Phi}(\omega)$  as an element of  $\text{UCBH}(B(\mathcal{H}))$ . Therefore the map  $\tilde{\Phi}$  is contractive. ■

<sup>3</sup>Please see Appendix B for more details.

For a general operator system  $\mathcal{E}$  we can only conclude the map  $\tilde{\Phi}: \overline{\text{Pert}(\mathcal{E})} \rightarrow \text{UCBH}(\mathcal{E})$  is completely contractive rather than completely isometric.

**Example 3.7.** Let  $\{E_{ij}\}$ ,  $1 \leq i, j \leq 2$  be the standard matrix units for  $M_2(\mathbb{C})$ . Define

$$\text{Toep}_2 = \left\{ \begin{pmatrix} a & b \\ c & a \end{pmatrix} \in M_2(\mathbb{C}) \right\}.$$

Take  $\omega_1, \omega_2 \in \text{Pert}(\text{Toep}_2)$  given as

$$\begin{aligned} \omega_1 &= E_{12} \otimes E_{12}^\circ + E_{21} \otimes E_{21}^\circ + E_{11} \otimes E_{11}^\circ + E_{22} \otimes E_{22}^\circ, \\ \omega_2 &= (E_{12} + E_{21}) \otimes (E_{12} + E_{21})^\circ. \end{aligned}$$

By a direct computation we obtain that  $\Phi(\omega_1) = \Phi(\omega_2)$  on  $\text{Toep}_2$ , both give rise to the transposition map on  $\text{Toep}_2$ , and we observe that  $E_{12} + E_{21}$  is a  $2 \times 2$  unitary matrix, thus  $\|\Phi(\omega_2)\|_{cb} = 1$ , and therefore we obtain that  $\|\Phi(\omega_1)\|_{cb} = 1$ .

However, according to [11, Theorem 17.4], the Haagerup tensor norm  $\|\omega_1\|_h$  is equal to the completely bounded norm of the transposition transformation over  $M_2(\mathbb{C})$ , which is equal to 2. Therefore,  $\|\Phi(\omega_1)\|_{cb} = 1 < \|\omega_1\|_h = 2$ .

**Definition 3.8.** We denote by  $\text{Pert}^+(\mathcal{E})$  the sub-semigroup of  $\text{Pert}(\mathcal{E})$  containing all the  $\omega \in \text{Pert}(\mathcal{E})$  of the form  $\omega = \sum a_i \otimes a_i^{*\circ}$  for some  $a_i \in C^*(\mathcal{E})$ , i.e.,

$$\text{Pert}^+(\mathcal{E}) := \left\{ \omega \in \text{Pert}(\mathcal{E}) \mid \omega = \sum a_i \otimes a_i^{*\circ} \text{ for some } a_i \in C^*(\mathcal{E}) \right\}.$$

To simplify the notation we still denote the restriction  $\Phi|_{\text{Pert}^+(\mathcal{E})}$  to  $\text{Pert}^+(\mathcal{E})$  by  $\Phi$ .

**Corollary 3.9.** Let  $\omega = \sum a_i \otimes a_i^{*\circ} \in \text{Pert}^+(\mathcal{E})$ . We have  $\Phi(\omega) \in \text{UCP}(\mathcal{E})$ , namely

$$\begin{aligned} \Phi: \text{Pert}^+(\mathcal{E}) &\rightarrow \text{UCP}(\mathcal{E}), \\ \omega &\mapsto \sum a_i(\cdot)a_i^*. \end{aligned}$$

**Proof.** By Proposition 3.4 we have that  $\Phi(\omega) \in \text{UCBH}(\mathcal{E})$  for  $\omega \in \text{Pert}^+(\mathcal{E})$ , and  $\Phi(\omega)(\cdot) = \sum a_i(\cdot)a_i^*$ , which is a completely positive map.  $\blacksquare$

As in the case of  $\text{Pert}(\mathcal{E})$ , we can take the closure of  $\text{Pert}^+(\mathcal{E})$  with respect to Haagerup tensor norm, which we denote as  $\overline{\text{Pert}^+(\mathcal{E})}$ .

**Proposition 3.10.** Let  $\overline{\text{Pert}^+(\mathcal{E})}$  be the closure of  $\text{Pert}^+(\mathcal{E})$  with respect to Haagerup tensor norm. We can extend the map  $\Phi: \text{Pert}^+(\mathcal{E}) \rightarrow \text{UCP}(\mathcal{E})$  to a map

$$\tilde{\Phi}: \overline{\text{Pert}^+(\mathcal{E})} \rightarrow \text{UCP}(\mathcal{E}),$$

such that  $\tilde{\Phi}|_{\text{Pert}^+(\mathcal{E})} = \Phi$ . Moreover, we have  $\|\omega\|_h = 1$  and  $\|\tilde{\Phi}(\omega)\|_{cb} = 1$  for every  $\omega \in \overline{\text{Pert}^+(\mathcal{E})}$ .

**Proof.** Take an element  $\omega \in \overline{\text{Pert}^+(\mathcal{E})}$ , according to Proposition 3.6, the map  $\tilde{\Phi}(\omega) \in \text{UCBH}(\mathcal{E})$ . we then need to show that  $\tilde{\Phi}(\omega)$  is completely positive. Indeed, if we take a sequence  $\{\omega_n\}_{n \geq 1} \subset \text{Pert}^+(\mathcal{E})$  such that  $\omega_n \rightarrow \omega$ , then for any  $\epsilon > 0$ , there exists an  $N > 0$  such that when  $n \geq N$

$$\|\tilde{\Phi}(\omega_n) - \tilde{\Phi}(\omega)\|_{cb} \leq \|\omega_n - \omega\|_h < \epsilon. \quad (3.1)$$

Take a positive element  $X_k \in M_k(\mathcal{E})$ , then  $\tilde{\Phi}(\omega_n)(X_k) \in M_k(\mathcal{E})$  is also positive for all  $n \in \mathbb{N}$ . And by the inequality (3.1), we have

$$\frac{\|\tilde{\Phi}(\omega_n)(X_k) - \tilde{\Phi}(\omega)(X_k)\|}{\|X_k\|} < \epsilon,$$

that is to say,  $\tilde{\Phi}(\omega)(X_k)$  is the limit point of the sequence of positive elements  $\{\tilde{\Phi}(\omega_n)(X_k)\}_{n \geq 1}$  in  $M_k(\mathcal{E})$ , thus  $\tilde{\Phi}(\omega)(X_k) \in M_k(\mathcal{E})$  is positive. Since this is true for all  $k \in \mathbb{N}$ ,  $\tilde{\Phi}(\omega)$  is completely positive and therefore  $\tilde{\Phi}(\omega) \in \text{UCP}(\mathcal{E})$ .

Finally, we only need to show that  $\|\tilde{\Phi}(\omega)\|_{cb} = \|\omega\|_h = 1$  for each  $\omega \in \overline{\text{Pert}^+(\mathcal{E})}$ . Take an element  $\omega \in \overline{\text{Pert}^+(\mathcal{E})}$ . For any  $\epsilon > 0$ , there exists an  $\omega' \in \text{Pert}^+(\mathcal{E})$  such that

$$\|\Phi(\omega')\|_{cb} - \epsilon \leq \|\tilde{\Phi}(\omega)\|_{cb} \leq \|\omega\|_h \leq \|\omega'\|_h + \epsilon.$$

Since  $\omega' \in \text{Pert}^+(\mathcal{E})$ , we can write  $\omega'$  as  $\omega' = \sum_{i=1}^k a_i \otimes a_i^{*\circ}$  for some  $a_i \in C^*(\mathcal{E})$ , and according to

Definition 3.2, we obtain that  $\sum_{i=1}^k a_i a_i^* = \text{Id}$ . Thus

$$\|\omega'\|_h \leq \left\| \sum_{i=1}^k a_i a_i^* \right\| = 1.$$

On the other hand, we observe the inequality

$$\|\Phi(\omega')\|_{cb} \geq \|\Phi(\omega')\| \geq \|\Phi(\omega')(\text{Id})\| = 1.$$

Hence combine the three inequalities above together we conclude that

$$1 - \epsilon \leq \|\tilde{\Phi}(\omega)\|_{cb} \leq \|\omega\|_h \leq 1 + \epsilon.$$

Since this is true for every  $\epsilon > 0$ , we obtain that  $\|\tilde{\Phi}(\omega)\|_{cb} = \|\omega\|_h = 1$  for all  $\omega \in \overline{\text{Pert}^+(\mathcal{E})}$ . ■

We also observe that there is a map from the gauge group  $\mathcal{G}(\mathcal{E})$  to the semigroup  $\text{Pert}^+(\mathcal{E})$ , as stated in the following proposition.

**Proposition 3.11.** *There is a multiplicative map from  $\mathcal{G}(\mathcal{E})$  to  $\text{Pert}^+(\mathcal{E})$  defined by*

$$\begin{aligned} \mathcal{G}(\mathcal{E}) &\rightarrow \text{Pert}^+(\mathcal{E}), \\ u &\rightarrow u^* \otimes u^\circ. \end{aligned}$$

**Remark 3.12.** Although for an element  $\omega \in \overline{\text{Pert}^+(\mathcal{E})}$  we have  $\|\tilde{\Phi}(\omega)\|_{cb} = \|\omega\|_h = 1$ , the completely bounded norm  $\|\tilde{\Phi}(\omega_1) - \tilde{\Phi}(\omega_2)\|_{cb}$  and the Haagerup norm of  $\|\omega_1 - \omega_2\|_h$  for two elements  $\omega_1, \omega_2 \in \overline{\text{Pert}^+(\mathcal{E})}$  usually are not equal.

Consider the  $2 \times 2$  Toeplitz system  $\text{Toep}_2$ . Take  $\omega_1, \omega_2 \in \text{Pert}^+(\text{Toep}_2)$  as

$$\omega_1 = E_{11} \otimes E_{11}^\circ + E_{22} \otimes E_{22}^\circ, \quad \omega_2 = E_{12} \otimes E_{21}^\circ + E_{21} \otimes E_{12}^\circ,$$

although  $\omega_1 \neq \omega_2$ , we have  $\Phi(\omega_1) = \Phi(\omega_2)$ . Indeed,

$$\Phi(\omega_1) = \Phi(\omega_2): \begin{pmatrix} a & b \\ c & a \end{pmatrix} \mapsto \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}.$$

Therefore  $\|\tilde{\Phi}(\omega_1) - \tilde{\Phi}(\omega_2)\|_{cb}$  is equal to 0 while  $\|\omega_1 - \omega_2\|_h$  is not.

## 4 Gauge group and perturbation semigroup of the Toeplitz system

The concept of truncated circle is introduced by Alain Connes and Walter D. van Suijlekom in [4]. Recall that the canonical spectral triple on the circle is in the form of

$$\left( C^\infty(S^1), L^2(S^1), D = -i \frac{d}{dt} \right)$$

as discussed in [15, Chapter 5]. Let  $\{e_n\}_{n \in \mathbb{Z}}$  be the set of eigenvectors of  $D$ , we consider a spectral truncation defined by the orthogonal projection  $P_n$  onto  $\text{span}_{\mathbb{C}}\{e_1, e_2, \dots, e_n\}$  for some  $n > 0$ . The truncated circle with respect to  $P_n$  is defined as

$$(P_n C^\infty(S^1) P_n, P_n L^2(S^1), P_n D P_n).$$

Since  $P_n$  does not commute with the  $*$ -algebra  $C^\infty(S^1)$ ,  $P_n C^\infty(S^1) P_n$  is only an operator system rather than an algebra. In fact, if  $f \in C^\infty(S^1)$  is a smooth function with Fourier coefficients  $\{a_n\}_{n \in \mathbb{Z}}$ , then the truncation  $P_n f P_n$  can be written as a Toeplitz matrix:

$$P_n f P_n = \begin{pmatrix} a_0 & a_{-1} & \cdots & a_{-n+2} & a_{-n+1} \\ a_1 & a_0 & a_{-1} & \cdots & a_{-n+2} \\ \vdots & a_1 & a_0 & \ddots & \vdots \\ a_{n-2} & \vdots & \ddots & \ddots & a_{-1} \\ a_{n-1} & a_{n-2} & \cdots & a_1 & a_0 \end{pmatrix}.$$

Hence it turns out that  $P_n C^\infty(S^1) P_n$  is the Toeplitz operator system containing all the  $n \times n$  Toeplitz matrices, which we denote as  $\text{Toep}_n$ .

One interesting question is what are the gauge group and perturbation semigroup of the Toeplitz operator system  $\text{Toep}_n$ . In this section, we will present the structure of gauge group  $\mathcal{G}(\text{Toep}_n)$  and some properties of perturbation semigroup  $\text{Pert}(\text{Toep}_n)$ . Many properties of  $\text{Toep}_n$  are different from that of  $M_n(\mathbb{C})$ , in this section, we will also show that the transpose map on  $\text{Toep}_n$  is a UCP map, which is absolutely wrong in the case of  $M_n(\mathbb{C})$ . The readers can refer to [7] for more details and other interesting behaviors about Toeplitz operator system.

### 4.1 Gauge group of the Toeplitz system

As is shown in [4], the  $C^*$ -algebra generated by  $\text{Toep}_n$  is just  $M_n(\mathbb{C})$ . The main goal of this section is to figure out  $\mathcal{G}(\text{Toep}_n)$ . One interesting phenomenon is that  $\mathcal{G}(\text{Toep}_n)$  is independent of  $n$ . Before proving that we need the following lemma.

**Lemma 4.1.** *Let  $U \in \mathcal{G}(\text{Toep}_n)$ , then  $U$  is either a diagonal matrix or an anti-diagonal matrix.*

**Proof.** We take a unitary matrix  $U = (u_{ij})_{1 \leq i, j \leq n} \in \mathcal{U}(M_n(\mathbb{C}))$  and a basis  $\{\tau_j\}_{j=-n+1, \dots, n-1}$  of the Toeplitz system  $\text{Toep}_n$  given by 1's on the  $j$ 'th diagonal and 0's elsewhere, i.e., for positive  $k$  we have

$$\tau_k = \sum_{i=1}^{n-k} E_{i, i+k}, \quad \tau_{-k} = \sum_{i=1}^{n-k} E_{i+k, i},$$

here  $E_{i,j}$  is the  $n \times n$  unit matrix with 1 in  $(i, j)$ -entry and 0's everywhere else. An element  $U \in \mathcal{G}(\text{Toep}_n)$  if and only if  $U^* \tau_j U \in \text{Toep}_n$  for all  $j \in [-n+1, n-1]$ . We observe first that when  $k > 0$  the  $(j, l)$ -entry of  $U^* \tau_k U$  is given by

$$(U^* \tau_k U)_{j,l} = \sum_{i=1}^{n-k} \bar{u}_{i,j} u_{k+i,l}, \quad 1 \leq j, l \leq n, \quad (4.1)$$

and

$$\mathrm{Tr}(U^* \tau_k U) = \sum_{j=1}^n \sum_{i=1}^{n-k} \bar{u}_{i,j} u_{k+i,j}.$$

Since  $U$  is a unitary matrix, we have  $\sum_{j=1}^n \bar{u}_{i,j} u_{k+i,j} = 0$  for  $k > 0$  and  $1 \leq i \leq n - k$ . Thus we have

$$\mathrm{Tr}(U^* \tau_k U) = \sum_{j=1}^n \sum_{i=1}^{n-k} \bar{u}_{i,j} u_{k+i,j} = 0, \quad k > 0.$$

Due to our assumption that  $U^* \tau_k U \in \mathrm{Toep}_n$ , we must have all the diagonal entries of  $U^* \tau_k U$  are zeros:

$$\sum_{i=1}^{n-k} \bar{u}_{i,j} u_{k+i,j} = 0, \quad k > 0, \quad 1 \leq j \leq n. \quad (4.2)$$

Take  $k = n - 1$  and  $j = 1$  in formula (4.2), we have that  $\bar{u}_{1,1} u_{n,1} = 0$ . However  $\bar{u}_{1,1}$  and  $u_{n,1}$  can not be both equal to 0, otherwise by equation (4.1),  $U^* \tau_{n-1} U = 0$ . In fact, the equation (4.1) implies that  $(U^* \tau_{n-1} U)_{j,l} = \bar{u}_{1,j} u_{n,l}$ , suppose if  $\bar{u}_{1,1} = u_{n,1} = 0$ , then  $(U^* \tau_{n-1} U)_{1,l} = (U^* \tau_{n-1} U)_{j,1} = 0$  for all  $1 \leq j, l \leq n$ . That is, all the entries in the first row and the first column of  $U^* \tau_{n-1} U$  are 0's, since we assume the matrix  $U^* \tau_{n-1} U$  is a Toeplitz matrix, we conclude that  $(U^* \tau_{n-1} U) = 0$ . This is a contradiction of the unitary of  $U$ .

Since  $u_{1,1}$  and  $u_{n,1}$  can not both be equal to 0, we first assume that  $u_{1,1} = \alpha \neq 0$  and  $u_{n,1} = 0$ . If we take  $k = n - 2$  and  $j = 1$  in formula (4.2), we obtain that

$$\bar{u}_{1,1} u_{n-1,1} + \bar{u}_{2,1} u_{n,1} = 0. \quad (4.3)$$

Therefore we have  $u_{n-1,1} = 0$ . We then take  $k = n - 3$  and  $j = 1$  in formula (4.2) again, we obtain the equation

$$\bar{u}_{1,1} u_{n-2,1} + \bar{u}_{2,1} u_{n-1,1} + \bar{u}_{3,1} u_{n,1} = 0, \quad (4.4)$$

since  $u_{1,1} \neq 0$ ,  $u_{n,1} = 0$  and  $u_{n-1,1} = 0$ , we obtain that  $u_{n-2,1} = 0$ . By induction, take  $j = 1$  and  $k = n - 4, n - 5, \dots, 2, 1$ , we obtain that  $u_{i,1} = 0$  for  $1 < i \leq n$ , namely, all the entries in the first column of  $U$  are equal to 0 except that  $u_{1,1} = \alpha \neq 0$ . Thus we can write  $U$  in the matrix form as

$$U = \begin{pmatrix} \alpha & u_{1,2} & u_{1,3} & \cdots & u_{1,n} \\ 0 & u_{2,2} & u_{2,3} & \cdots & u_{2,n} \\ 0 & u_{3,2} & u_{3,3} & \cdots & u_{3,n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & u_{n,2} & u_{n,3} & \cdots & u_{n,n} \end{pmatrix},$$

and by a simple computation

$$U^* \tau_{n-1} U = \begin{pmatrix} 0 & \bar{\alpha} u_{n,2} & \bar{\alpha} u_{n,3} & \cdots & \bar{\alpha} u_{n,n} \\ 0 & \bar{u}_{1,2} u_{n,2} & \bar{u}_{1,2} u_{n,3} & \cdots & \bar{u}_{1,2} u_{n,n} \\ 0 & \bar{u}_{1,3} u_{n,2} & \bar{u}_{1,3} u_{n,3} & \cdots & \bar{u}_{1,3} u_{n,n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \bar{u}_{1,n} u_{n,2} & \bar{u}_{1,n} u_{n,3} & \cdots & \bar{u}_{1,n} u_{n,n} \end{pmatrix}. \quad (4.5)$$



Now we show that  $u_{1,2} = u_{1,3} = \cdots = u_{1,n} = 0$ . Since the matrix (4.5) is a Toeplitz matrix, the (2, 2)-entry element in (4.5) must be equal to 0, namely  $\bar{u}_{1,2}u_{n,2} = 0$ . Suppose  $u_{1,2} \neq 0$ , then we must have  $u_{n,2} = 0$ , which implies that the second column of (4.5) is 0. It then implies that the (2, 3)-entry element in (4.5) is equal to 0, which implies  $u_{n,3} = 0$  and thus the third column of (4.5) is 0. By induction, we obtain that  $u_{n,1} = u_{n,2} = u_{n,3} = \cdots = u_{n,n-1} = u_{n,n} = 0$ , that is,  $U^*\tau_{n-1}U = 0$ , which is impossible. Therefore we must have  $u_{1,2} = 0$ , and we deduce that all the entries in the second row of (4.5) are 0's. Hence the only non-zero entry in (4.5) is the (1,  $n$ )-entry and all the rest entries are 0's. Namely,

$$U^*\tau_{n-1}U = \bar{\alpha}u_{n,n}\tau_{n-1}.$$

Thus we obtain that  $u_{1,2} = u_{1,3} = \cdots = u_{1,n} = 0$ . That is to say, the unitary matrix  $U$  is of the form

$$U = \begin{pmatrix} \alpha & 0 \\ 0 & \tilde{U} \end{pmatrix}, \quad |\alpha| = 1, \quad \tilde{U} \in \mathcal{U}(M_{n-1}(\mathbb{C})).$$

Take a Toeplitz matrix  $T = (t_{ij})_{1 \leq i, j \leq n} \in \text{Toep}_n$ , we write  $T$  in the block form as

$$T = \begin{pmatrix} x & X \\ Y & \tilde{T} \end{pmatrix}, \quad \tilde{T} \in \text{Toep}_{n-1},$$

here  $x = t_{11}$ ,  $X = (t_{12}, \dots, t_{1n})$ , and  $Y = (t_{21}, \dots, t_{n1})^T$ . A simple computation shows that

$$U^*TU = \begin{pmatrix} x & \bar{\alpha}X\tilde{U} \\ \alpha\tilde{U}^*Y & \tilde{U}^*\tilde{T}\tilde{U} \end{pmatrix} \in \text{Toep}_n,$$

which implies that  $\tilde{U}^*\tilde{T}\tilde{U} \in \text{Toep}_{n-1}$ . Apply the same argument to  $\tilde{U} \in \text{Toep}_{n-1}$ , we obtain that the  $(n-1) \times (n-1)$  unitary matrix  $\tilde{U}$  is of the form

$$\tilde{U} = \begin{pmatrix} \beta & 0 \\ 0 & \hat{U} \end{pmatrix}, \quad |\beta| = 1, \quad \hat{U} \in \mathcal{U}(M_{n-2}(\mathbb{C})),$$

apply the same argument to  $\hat{U}$  again, by induction we obtain that  $U$  is a diagonal matrix when  $u_{1,1} \neq 0$ .

On the other hand, when  $u_{1,1} = 0$  and  $u_{n,1} = \alpha \neq 0$ , the equation (4.3) then implies that  $u_{2,1} = 0$ , and the equation (4.4) implies that  $u_{3,1} = 0$ , by induction, take  $k = n-4, n-5, \dots, 2, 1$  and  $j = 1$ , we obtain that the first column of  $U$  are all zeros except  $u_{n,1} \neq 0$ . Namely the unitary matrix  $U$  is of the form

$$U = \begin{pmatrix} 0 & u_{1,2} & \cdots & u_{1,n-1} & u_{1,n} \\ 0 & u_{2,2} & \cdots & u_{2,n-1} & u_{2,n} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & u_{n-1,2} & \cdots & u_{n-1,n-1} & u_{n-1,n} \\ \alpha & u_{n,2} & \cdots & u_{n,n-1} & u_{n,n} \end{pmatrix},$$

and by a direct computation we can write the matrix  $U^*\tau_{n-1}U$  as

$$U^*\tau_{n-1}U = \begin{pmatrix} 0 & 0 & \cdots & 0 & 0 \\ \bar{u}_{1,2}\alpha & \bar{u}_{1,2}u_{n,2} & \cdots & \bar{u}_{1,2}u_{n,n-1} & \bar{u}_{1,2}u_{n,n} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \bar{u}_{1,n-1}\alpha & \bar{u}_{1,n-1}u_{n,2} & \cdots & \bar{u}_{1,n-1}u_{n,n-1} & \bar{u}_{1,n-1}u_{n,n} \\ \bar{u}_{1,n}\alpha & \bar{u}_{1,n}u_{n,2} & \cdots & \bar{u}_{1,n}u_{n,n-1} & \bar{u}_{1,n}u_{n,n} \end{pmatrix}.$$

Using a similar argument as in the case of  $u_{1,1} \neq 0$  and  $u_{n,1} = 0$ , we can deduce that  $U$  is an anti-diagonal matrix if  $u_{1,1} = 0$  and  $u_{n,1} \neq 0$ . ■

The gauge group  $\mathcal{G}(\text{Toep}_n)$  has a more explicit expression as given below.

**Proposition 4.2.** *The gauge group  $\mathcal{G}(\text{Toep}_n)$  is generated by the diagonal matrices  $U_{\alpha,\beta}$  and anti-diagonal matrix  $V$  of the form*

$$U_{\alpha,\beta} = \begin{pmatrix} \alpha & 0 & 0 & \cdots & 0 \\ 0 & \beta & 0 & \cdots & 0 \\ 0 & 0 & \bar{\alpha}\beta^2 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & \bar{\alpha}^{n-2}\beta^{n-1} \end{pmatrix}, \quad V = \begin{pmatrix} 0 & \cdots & 0 & 0 & 1 \\ 0 & \cdots & 0 & 1 & 0 \\ 0 & \cdots & 1 & 0 & 0 \\ \vdots & \ddots & \vdots & \vdots & \vdots \\ 1 & \cdots & 0 & 0 & 0 \end{pmatrix}, \quad |\alpha| = |\beta| = 1. \quad (4.6)$$

**Proof.** According to Lemma 4.1, any  $U \in \mathcal{G}(\text{Toep}_n)$  is either a diagonal matrix or an anti-diagonal matrix. Suppose  $U$  is a diagonal matrix, then  $U$  can be expressed as

$$U = \begin{pmatrix} \alpha_1 & 0 & \cdots & 0 \\ 0 & \alpha_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \alpha_n \end{pmatrix}$$

with  $|\alpha_i| = 1$  for  $i = 1, 2, \dots, n$ . We then obtain

$$U^* \tau_1 U = \begin{pmatrix} 0 & \bar{\alpha}_1 \alpha_2 & 0 & \cdots & 0 \\ 0 & 0 & \bar{\alpha}_2 \alpha_3 & \cdots & 0 \\ \vdots & \vdots & \ddots & \cdots & \vdots \\ 0 & 0 & 0 & \cdots & \bar{\alpha}_{n-1} \alpha_n \\ 0 & 0 & 0 & \cdots & 0 \end{pmatrix},$$

since  $U^* \tau_1 U \in \text{Toep}_n$ , we must have  $\bar{\alpha}_1 \alpha_2 = \bar{\alpha}_2 \alpha_3 = \cdots = \bar{\alpha}_{n-1} \alpha_n$ . If we take  $\alpha_1 = \alpha$  and  $\alpha_2 = \beta$ , we must have  $\alpha_i = \bar{\alpha}^{i-2} \beta^{i-1}$  for  $3 \leq i \leq n$ , hence we obtain the unitary matrix  $U_{\alpha,\beta}$  as given in (4.6).

Now suppose if the unitary matrix  $W$  is an anti-diagonal matrix of the form

$$W = \begin{pmatrix} 0 & 0 & \cdots & 0 & \alpha_1 \\ 0 & 0 & \cdots & \alpha_2 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & \alpha_{n-1} & \cdots & 0 & 0 \\ \alpha_n & 0 & \cdots & 0 & 0 \end{pmatrix}.$$

Using a similar argument we can show that

$$W = \begin{pmatrix} 0 & 0 & \cdots & 0 & \alpha \\ 0 & 0 & \cdots & \beta & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & \bar{\alpha}^{n-3} \beta^{n-2} & \cdots & 0 & 0 \\ \bar{\alpha}^{n-2} \beta^{n-1} & 0 & \cdots & 0 & 0 \end{pmatrix}, \quad \alpha, \beta \in \mathbb{C} \quad \text{and} \quad |\alpha| = |\beta| = 1.$$

We denote this matrix  $W$  as  $W_{\alpha,\beta}$ , and take  $V = W_{1,1}$ . Observe that any  $W_{\alpha,\beta}$  can be expressed as the product of  $V$  and  $U_{\alpha,\beta}$ , i.e.,

$$W_{\alpha,\beta} = V U_{\alpha,\beta},$$

therefore the gauge group  $\mathcal{G}(\text{Toep}_n)$  is generated by  $U_{\alpha,\beta}$  and  $V$ , with  $|\alpha| = |\beta| = 1$ . ■

Moreover, if we denote by  $\omega = \alpha\bar{\beta}$ , let

$$\Omega_\omega = \begin{pmatrix} 1 & \bar{\omega} & \bar{\omega}^2 & \dots & \bar{\omega}^{n-1} \\ \omega & 1 & \bar{\omega} & \dots & \bar{\omega}^{n-2} \\ \omega^2 & \omega & 1 & \dots & \bar{\omega}^{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \omega^{n-1} & \omega^{n-2} & \omega^{n-3} & \dots & 1 \end{pmatrix},$$

and we denote by  $\Gamma: T \mapsto T^T$  the transposition action of  $T \in \text{Toep}_n$ , we obtain that

$$U_{\alpha,\beta}^* T U_{\alpha,\beta} = \Omega_\omega \odot T, \quad (4.7)$$

$$U_{\alpha,\beta}^* V^* T V U_{\alpha,\beta} = \Omega_\omega \odot \Gamma(T), \quad (4.8)$$

$$V^* U_{\alpha,\beta}^* T U_{\alpha,\beta} V = \Omega_{\bar{\omega}} \odot \Gamma(T), \quad (4.9)$$

here  $\Omega_\omega \odot T$  denotes the Schur product of  $\Omega_\omega$  and  $T$ , that is, the elementwise product of  $\Omega_\omega$  and  $T$ . Hence we obtain the following corollary.

**Corollary 4.3.** *The group of  $\text{UCP}_{\text{rank}=1}(\text{Toep}_n)$  is isomorphic to the semidirect product of  $U(1)$  and  $\mathbb{Z}_2$ , and the gauge group  $\mathcal{G}(\text{Toep}_n)$  is different from  $\text{UCP}_{\text{rank}=1}(\text{Toep}_n)$  by a phase factor, that is,*

$$\text{UCP}_{\text{rank}=1}(\text{Toep}_n) = U(1) \rtimes \mathbb{Z}_2 \quad (4.10)$$

and

$$\mathcal{G}(\text{Toep}_n) = U(1) \times (U(1) \rtimes \mathbb{Z}_2). \quad (4.11)$$

Moreover, We have the short exact sequence which is independent of  $n$ :

$$1 \longrightarrow U(1) \longrightarrow \mathcal{G}(\text{Toep}_n) \longrightarrow \text{UCP}_{\text{rank}=1}(\text{Toep}_n) \longrightarrow 1.$$

**Proof.** We first show that the group  $\text{UCP}_{\text{rank}=1}(\text{Toep}_n)$  is isomorphic to the semidirect product of  $U(1)$  and  $\mathbb{Z}_2$ . In fact, according to the r.h.s.'s of equations (4.7)–(4.9), the group  $\text{UCP}_{\text{rank}=1}(\text{Toep}_n)$  is characterized by  $\Omega_\omega$  and the transposition action  $\Gamma$ . We observe that  $\Gamma \circ \Omega_\omega \circ \Gamma = \Omega_{\bar{\omega}}$ , and if we equip the collection of matrices  $\{\Omega_\omega\}_\omega$  with Schur product, it is obvious to see that  $\{\Omega_\omega\}_\omega$  is isomorphic to  $U(1)$ , therefore we obtain the formula (4.10).

Since  $\omega$  is determined by the product of  $\alpha$  and  $\bar{\beta}$ , while the matrix  $U_{\alpha,\beta}$  is determined by  $\alpha$  and  $\beta$ , hence compared with  $\text{UCP}_{\text{rank}=1}(\text{Toep}_n)$ , the gauge group  $\mathcal{G}(\text{Toep}_n)$  has one more  $U(1)$ -factor, therefore we obtain the formula (4.11). ■

**Remark 4.4.** Although the transposition map is not completely positive on  $M_n(\mathbb{C})$ , however, it is unital completely positive on the Toeplitz system  $\text{Toep}_n$  given by  $V^*(\cdot)V$ , that is to say, for a general  $T \in M_n(\mathbb{C})$  we do not have  $V^*TV = T^T$ , while if  $T \in \text{Toep}_n$  this equality does hold, as is also discussed in [7].

## 4.2 Perturbation semigroup of the Toeplitz system

In this section, we shall characterize the semigroups  $\text{Pert}(\text{Toep}_n)$  and  $\text{Pert}^+(\text{Toep}_n)$ . We first need to recall the definition of the vectorization of a matrix as is defined in [14].

**Definition 4.5** ([14, Section 2]). Let  $T \in M_{n \times m}(\mathbb{C})$ , we define the vectorization  $\text{vec}(T)$  of  $T$  as

$$\begin{aligned} \text{vec}: M_{n \times m}(\mathbb{C}) &\rightarrow \mathbb{C}^{nm}, \\ T &\mapsto \sum_{j=1}^m e_j^{(m)} \otimes T e_j^{(m)}, \end{aligned}$$

here the tensor notation is in the sense of Kronecker product, corresponding to the standard identification  $\mathbb{C}^{nm} \cong \mathbb{C}^m \otimes \mathbb{C}^n$ , and  $e_j^{(m)}$  denotes the  $j$ -th basis element in  $\mathbb{C}^m$ , i.e.,  $e_j^{(m)} = (0, \dots, 1, \dots, 0)^T$  with the  $j$ -th entry is equal to 1 and 0's otherwise.

For example, if  $T = (t_{ij})_{1 \leq i, j \leq 3} \in M_3(\mathbb{C})$ , then

$$\text{vec}: \begin{pmatrix} t_{11} & t_{12} & t_{13} \\ t_{21} & t_{22} & t_{23} \\ t_{31} & t_{32} & t_{33} \end{pmatrix} \mapsto (t_{11}, t_{21}, t_{31}, t_{12}, t_{22}, t_{32}, t_{13}, t_{23}, t_{33})^T.$$

**Remark 4.6.** As it is shown in [14, Section 2] we have the formula

$$\text{vec}(A X B^T) = (B \otimes A) \text{vec}(X), \quad A \in M_{n \times m}(\mathbb{C}), \quad B \in M_{k \times l}(\mathbb{C}), \quad X \in M_{m \times l}(\mathbb{C}).$$

We take a matrix  $\Delta \in M_{n^2 \times (2n-1)}(\mathbb{C})$  as

$$\Delta = (\text{vec}(\tau_{-n+1}), \text{vec}(\tau_{-n+2}), \dots, \text{vec}(\tau_0), \text{vec}(\tau_1), \text{vec}(\tau_2), \dots, \text{vec}(\tau_{n-1})).$$

Consider the semigroup homomorphism  $\Phi: \text{Pert}(\text{Toep}_n) \rightarrow \text{UCBH}(\text{Toep}_n)$  as is defined in Section 3. We denote the image of  $\omega \in \text{Pert}(\text{Toep}_n)$  by  $\varphi$ , i.e.,  $\varphi = \Phi(\omega) \in \text{UCBH}(\text{Toep}_n)$ . Take  $\{\tau_i\}_{-n+1 \leq i \leq n-1}$  as the basis of  $\text{Toep}_n$ , we can identify  $\varphi$  with a  $(2n-1) \times (2n-1)$  matrix  $W = (w_{ij})_{-n+1 \leq i, j \leq n-1}$  such that

$$\varphi(\tau_j) = \sum_{i=-n+1}^{n-1} w_{ij} \tau_i. \tag{4.12}$$

If we regard the tensor product in the definition of  $\text{Pert}(\text{Toep}_n)$  as Kronecker product, we can then treat an element  $\omega \in \text{Pert}(\text{Toep}_n)$  as a  $n^2 \times n^2$  matrix, which we still denote as  $\omega$  without confusion. In the case of Toeplitz operator system  $\text{Toep}_n$ , the  $C^*$ -algebra generated by  $\text{Toep}_n$  is  $M_n(\mathbb{C})$ . The opposite algebra  $M_n(\mathbb{C})^\circ$  is the transposition of  $M_n(\mathbb{C})$ , and an element  $a^\circ \in M_n(\mathbb{C})^\circ$  is just equal to  $a^T$ . The relationship between  $\omega$  and  $\varphi$  is described in the following proposition.

**Proposition 4.7.** *Let  $\omega \in \text{Pert}(\text{Toep}_n)$ , then we have the equation*

$$\omega \Delta = \Delta \overline{W}, \tag{4.13}$$

here  $W \in M_{2n-1}(\mathbb{C})$  is the square matrix associated with  $\Phi(\omega) = \varphi \in \text{UCBH}(\text{Toep}_n)$  defined by equation (4.12), and  $\overline{W}$  denotes the elementwise complex conjugation of  $W$ .

**Proof.** Let  $\omega = \sum a_k \otimes b_k^T \in \text{Pert}(\text{Toep}_n)$ . We observe that for  $-n+1 \leq j \leq n-1$ , the  $j$ -th column of  $\omega \Delta$  is equal to

$$\sum_i a_i \otimes b_i^T (\text{vec}(\tau_j)) = \text{vec} \left( \sum_i b_i^T \tau_j a_i^T \right) = \text{vec} \left( \sum_i (a_i \tau_{-j} b_i)^T \right) = \text{vec} (\varphi(\tau_{-j})^T).$$

The equation (4.12) implies that

$$\text{vec}(\varphi(\tau_{-j})^T) = \sum_{i=-n+1}^{n-1} w_{i,-j} \text{vec}(\tau_{-i}) = \sum_{i=-n+1}^{n-1} \overline{w_{-i,-j}} \text{vec}(\tau_i).$$

Since  $\varphi$  is a Hermitian map, we conclude that  $w_{ij} = \overline{w_{-i,-j}}$ . Indeed, we observe that

$$\varphi(\tau_j) = \varphi(\tau_{-j})^* \Rightarrow \sum w_{ij} \tau_i = \sum \overline{w_{-i,-j}} \tau_i \Rightarrow w_{ij} = \overline{w_{-i,-j}},$$

hence

$$\text{vec}(\varphi(\tau_{-j})^T) = \sum_{i=-n+1}^{n-1} \overline{w_{ij}} \text{vec}(\tau_i), \quad (4.14)$$

notice that the l.h.s. of (4.14) is the  $j$ -th column of  $\omega\Delta$ , and the r.h.s. of (4.14) is the  $j$ -th column of  $\Delta\overline{W}$  for  $-n+1 \leq j \leq n-1$ , therefore we obtain the equation (4.13).  $\blacksquare$

**Remark 4.8.** To simplify the expression we count the rows and columns of the  $(2n-1) \times (2n-1)$  matrix  $W$  from  $-n+1$  to  $n-1$ . Since  $\varphi$  is a unital map, i.e.,  $\varphi(\tau_0) = \tau_0$ , the 0-th column of  $W$  is  $(0, \dots, 0, 1, 0, \dots, 0)^T$  with 1 in the central entry and 0's elsewhere.

**Remark 4.9.** It is not difficult to show that  $\text{rank}(\Delta) = 2n-1$  by a direct computation, hence for each  $\omega \in \text{Pert}(\text{Toep}_n)$  there is a unique  $(2n-1) \times (2n-1)$  matrix  $W$  satisfying the equation (4.13). Especially, we have that  $\omega\Delta = \Delta$  if and only if  $\Phi(\omega) = \text{Id} \in \text{UCP}(\text{Toep}_n)$ .

The matrix  $\omega \in M_{n^2}(\mathbb{C})$  is not Hermitian in general. However, in [13] it is shown that we can transform  $\omega$  to become a Hermitian matrix.

**Definition 4.10** ([13, Section 1]). Let  $T = (t_{ij})_{1 \leq i, j \leq n^2} \in M_{n^2}(\mathbb{C})$ , we may write  $T$  in the block form as  $T = (T_{ij})_{1 \leq i, j \leq n}$ , where  $T_{ij} = (t_{rs}^{ij})_{1 \leq r, s \leq n} \in M_n(\mathbb{C})$ . We define  $\Gamma: M_{n^2}(\mathbb{C}) \rightarrow M_n(M_n(\mathbb{C}))$  as follows:

$$\Gamma(T)_{rs}^{ij} = t_{[i,j],[r,s]}, \quad i, j, r, s = 1, \dots, n,$$

here  $[i, j] = (i-1)n + j$ .

That is to say, we rearrange each row in  $T \in M_{n^2}(\mathbb{C})$  to become a new block and then reorder all blocks together. For example, for  $n=2$ ,

$$T = \begin{pmatrix} t_{11} & t_{12} & t_{13} & t_{14} \\ t_{21} & t_{22} & t_{23} & t_{24} \\ t_{31} & t_{32} & t_{33} & t_{34} \\ t_{41} & t_{42} & t_{43} & t_{44} \end{pmatrix}, \quad \text{and} \quad \Gamma(T) = \begin{pmatrix} t_{11} & t_{12} & t_{21} & t_{22} \\ t_{13} & t_{14} & t_{23} & t_{24} \\ t_{31} & t_{32} & t_{41} & t_{42} \\ t_{33} & t_{34} & t_{43} & t_{44} \end{pmatrix}.$$

**Theorem 4.11** ([13, Theorems 1 and 2]). Let  $\mathcal{T}: M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$  be a linear map,  $\langle \mathcal{T} \rangle$  be the matrix representation of  $\mathcal{T}$  with respect to the unit matrices  $E_{i,j}$ . The following are equivalent:

- $\mathcal{T}: M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$  is completely positive (resp. Hermitian-preserving).
- There exist  $A_1, \dots, A_s \in M_n(\mathbb{C})$  such that  $\langle \mathcal{T} \rangle = \sum_{i=1}^s A_i \otimes \overline{A_i}$  (resp.  $\langle \mathcal{T} \rangle = \sum_{i=1}^s \epsilon_i A_i \otimes \overline{A_i}$  for  $\epsilon_1, \dots, \epsilon_s \in \{\pm 1\}$ ).
- There exist  $A_1, \dots, A_s \in M_n(\mathbb{C})$  and a  $s \times s$  positive semidefinite (resp. Hermitian) matrix  $(d_{ij})$  such that  $\langle \mathcal{T} \rangle = \sum_{i,j=1}^s d_{ij} A_i \otimes \overline{A_j}$ .

- $\Gamma(\langle \mathcal{T} \rangle)$  is positive semidefinite (resp. Hermitian).
- $\Gamma(\langle \mathcal{T} \rangle^\Gamma)$  is positive semidefinite (resp. Hermitian).

In our case, we notice that if we regard  $\omega$  as a matrix in  $M_{n^2}(\mathbb{C})$ , then  $\omega$  plays the role of  $\langle \mathcal{T} \rangle$  above. Hence we have the following result:

**Theorem 4.12.** *If  $\omega = \sum a_i \otimes b_i^\circ \in \text{Pert}(\text{Toep}_n)$  (resp.  $\text{Pert}^+(\text{Toep}_n)$ ), then we have*

- $\Gamma(\omega)$  is a Hermitian (resp. positive semidefinite)  $n^2 \times n^2$  matrix,
- $\varphi = \Phi(\omega)$  can be extended as a Hermitian-preserving (resp. completely positive) map from  $M_n(\mathbb{C})$  to  $M_n(\mathbb{C})$ , where  $\Phi$  is defined as  $\Phi(\omega): X \mapsto \sum a_i(X)b_i$  for  $X \in M_n(\mathbb{C})$ , and hence we obtain the following two semigroup homomorphisms:

$$\begin{aligned} \text{Pert}(\text{Toep}_n) &\xrightarrow{\Phi} \text{UCBH}(\text{Toep}_n), \\ \text{Pert}^+(\text{Toep}_n) &\xrightarrow{\Phi} \text{UCP}(\text{Toep}_n). \end{aligned}$$

**Example 4.13.** We now characterize the semigroup  $\text{Pert}(\text{Toep}_2)$  and  $\text{Pert}^+(\text{Toep}_2)$ . Since the basis of  $\text{Toep}_2$  is  $\{\tau_{-1}, \tau_0, \tau_1\}$ , we take

$$\Delta = (\text{vec}(\tau_{-1}), \text{vec}(\tau_0), \text{vec}(\tau_1)) = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}.$$

Let  $\varphi \in \text{UCBH}(\text{Toep}_2)$ , then  $\varphi$  is determined by a  $3 \times 3$  matrix

$$W = \begin{pmatrix} a & 0 & \bar{c} \\ b & 1 & \bar{b} \\ c & 0 & \bar{a} \end{pmatrix} \in M_3(\mathbb{C})$$

given by equation (4.12), more explicitly,

$$\begin{aligned} \varphi: \text{Toep}_2 &\rightarrow \text{Toep}_2, \\ \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} &\mapsto \begin{pmatrix} b & c \\ a & b \end{pmatrix}, \\ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} &\mapsto \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \\ \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} &\mapsto \begin{pmatrix} \bar{b} & \bar{a} \\ \bar{c} & \bar{b} \end{pmatrix}. \end{aligned}$$

Let

$$T = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{pmatrix}, \quad I = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix},$$

a direct calculation shows that  $\Delta = T I$ . Let  $\omega$  be an element in  $\text{Pert}(\text{Toep}_n)$  such that  $\Phi(\omega) = \varphi$ , the Proposition 4.7 implies that

$$T^{-1} \omega T I = I \bar{W},$$

thus  $T^{-1}\omega T$  can be expressed as

$$T^{-1}\omega T = \begin{pmatrix} \bar{a} & 0 & c & z_1 \\ \bar{b} & 1 & b & z_2 \\ \bar{c} & 0 & a & z_3 \\ 0 & 0 & 0 & z_4 \end{pmatrix}$$

for some  $z_1, \dots, z_4 \in \mathbb{C}$ , and therefore

$$\omega = \begin{pmatrix} 1 - z_2 & \bar{b} & b & z_2 \\ -z_1 & \bar{a} & c & z_1 \\ -z_3 & \bar{c} & a & z_3 \\ -z_2 - z_4 + 1 & \bar{b} & b & z_2 + z_4 \end{pmatrix}, \quad \Gamma(\omega) = \begin{pmatrix} 1 - z_2 & \bar{b} & -z_1 & \bar{a} \\ b & z_2 & c & z_1 \\ -z_3 & \bar{c} & -z_2 - z_4 + 1 & \bar{b} \\ a & z_3 & b & z_2 + z_4 \end{pmatrix}.$$

According to Theorem 4.12,  $\Gamma(\omega)$  is a Hermitian matrix; thus we must have  $z_2, z_4 \in \mathbb{R}$  and  $z_3 = \bar{z}_1$ . Hence  $\omega \in \text{Pert}(\text{Toep}_2)$  if and only if  $\omega$  and  $\Gamma(\omega)$  are of the forms

$$\omega = \begin{pmatrix} 1 - z_2 & \bar{b} & b & z_2 \\ -z_1 & \bar{a} & c & z_1 \\ -\bar{z}_1 & \bar{c} & a & \bar{z}_1 \\ 1 - z_2 - z_4 & \bar{b} & b & z_2 + z_4 \end{pmatrix}, \quad \Gamma(\omega) = \begin{pmatrix} 1 - z_2 & \bar{b} & -z_1 & \bar{a} \\ b & z_2 & c & z_1 \\ -\bar{z}_1 & \bar{c} & -z_2 - z_4 + 1 & \bar{b} \\ a & \bar{z}_1 & b & z_2 + z_4 \end{pmatrix}$$

with  $z_2, z_4 \in \mathbb{R}$  and  $z_1 \in \mathbb{C}$ . Moreover, if  $\Gamma(\omega)$  is positive semidefinite then  $\omega \in \text{Pert}^+(\text{Toep}_n)$ .

We also obtain the positive definite matrix  $\Gamma(\omega)$ :

$$\Gamma(\omega) = \begin{pmatrix} 1 - z_2 & 0 & -z_1 & 1 \\ 0 & z_2 & 0 & z_1 \\ -\bar{z}_1 & 0 & 1 - z_2 - z_4 & 0 \\ 1 & \bar{z}_1 & 0 & z_2 + z_4 \end{pmatrix}.$$

In the case of Toeplitz system, since the  $C^*$ -algebra generated by  $\text{Toep}_n$  is  $M_n(\mathbb{C})$ , which is a nuclear  $C^*$ -algebra, and since the Haagerup tensor norm is a  $C^*$ -cross norm [5, Corollary 2.2], we conclude that  $\|\omega\| = \|\omega\|_h$  for an element  $\omega \in \text{Pert}(\text{Toep}_n)$ . According to Proposition 3.10, for  $\omega \in \text{Pert}^+(\text{Toep}_n)$  we have  $\|\omega\| = 1$ . We then obtain the following proposition.

**Proposition 4.14.** *Let  $\varphi \in \text{UCBH}(\text{Toep}_n)$ ,  $W \in M_{2n-1}(\mathbb{C})$  be the corresponding matrix, and  $\Delta = (\text{vec}(\tau_i))_{-n+1 \leq i \leq n-1} \in M_{n^2 \times (2n-1)}(\mathbb{C})$ . A necessary condition for  $\varphi \in \text{UCP}(\text{Toep}_n)$  is that  $\|\Delta \bar{W}\| \leq \|\Delta\|$ .*

**Proof.** If  $\varphi \in \text{UCP}(\text{Toep}_n)$ , i.e., the map  $\text{Toep}_n \xrightarrow{\varphi} \text{Toep}_n$  is a UCP map, according to Arveson's extension theorem [1, 11], we can always extend  $\varphi$  to a UCP map  $\tilde{\varphi}$  over  $M_n(\mathbb{C})$ , i.e.,  $M_n(\mathbb{C}) \xrightarrow{\tilde{\varphi}} M_n(\mathbb{C})$ , and since any UCP map  $\tilde{\varphi}$  over  $M_n(\mathbb{C})$  can be expressed as  $\tilde{\varphi}(X) = \sum V_i^* X V_i$  for finitely many  $V_i \in M_n(\mathbb{C})$ , we can take  $\omega = \sum V_i \otimes V_i^\circ$  such that  $\Phi(\omega) = \varphi$ . By Proposition 4.7 we have the equality  $\omega \Delta = \Delta \bar{W}$ . Hence

$$\|\Delta \bar{W}\| = \|\omega \Delta\| \leq \|\omega\| \|\Delta\|,$$

and since  $\|\omega\| = 1$ , we obtain that  $\|\Delta \bar{W}\| \leq \|\Delta\|$ . ■

## A Operator systems

This appendix contains some basic definitions and results about operator systems. In our case we only consider the concrete operator systems, i.e.,  $\mathcal{E} \subset B(\mathcal{H})$  for some Hilbert space  $\mathcal{H}$ . We refer the reader [2, 6, 11] for more details about operator systems.

**Definition A.1.** Let  $\mathcal{H}$  be a Hilbert space,  $B(\mathcal{H})$  be the set of all bounded operators on  $\mathcal{H}$ . A concrete operator system is a (closed) linear subspace  $\mathcal{E}$  of  $B(\mathcal{H})$ . If  $\mathcal{E}$  is closed under the involution, i.e.,  $x \in \mathcal{E}$  implies  $x^* \in \mathcal{E}$ , then  $\mathcal{E}$  is called an operator system. In this paper, we always assume the identity element  $\text{Id} \in \mathcal{E} \subset B(\mathcal{H})$ .

Let  $\mathcal{H}^{(n)}$  be the direct sum of  $n$  copies of  $\mathcal{H}$ ,  $M_n(\mathcal{E})$  be the set of all  $n \times n$  matrices with entries in  $\mathcal{E}$ . Since we have the  $C^*$ -isomorphism  $M_n(B(\mathcal{H})) \cong B(\mathcal{H}^{(n)})$ , thus we can identify each element  $(x_{ij}) \in M_n(\mathcal{E})$  as an operator in  $B(\mathcal{H}^{(n)})$ , and  $(x_{ij})$  inherits a norm  $\|\cdot\|_n$  from  $B(\mathcal{H}^{(n)})$ , thus  $M_n(\mathcal{E})$  turns out to be a normed vector space.

Let  $\mathcal{E} \subset B(\mathcal{H})$  for be an operator system, if there is a linear map  $\varphi: \mathcal{E} \rightarrow \mathcal{E}$ , then we define  $\varphi_n: M_n(\mathcal{E}) \rightarrow M_n(\mathcal{E})$  by sending  $(x_{ij})$  to  $(\varphi(x_{ij}))$ .

**Definition A.2.** Let  $\mathcal{E}$  be an operator system,  $\varphi: \mathcal{E} \rightarrow \mathcal{E}$  be a linear map, and  $\varphi_n$  be the induced map  $\varphi_n: M_n(\mathcal{E}) \rightarrow M_n(\mathcal{E})$ .

1. The map  $\varphi$  is called completely bounded if  $\sup_{n>0} \|\varphi_n\| < \infty$ , and we set

$$\|\varphi\|_{cb} = \sup_{n>0} \|\varphi_n\|.$$

2. The map  $\varphi$  is called  $n$ -positive if  $\varphi_n$  is positive, and  $\varphi$  is called completely positive if  $\varphi_n$  is  $n$ -positive for all  $n > 0$ .

If a completely positive map  $\varphi$  preserves the unit, i.e.,  $\varphi(\text{Id}) = \text{Id}$ , then  $\varphi$  is called a UCP map (unital completely positive), and we denote the collection of all UCP maps over  $\mathcal{E}$  by  $\text{UCP}(\mathcal{E})$ .

**Theorem A.3** (Arveson's extension theorem). *Let  $\mathcal{A}$  be a  $C^*$ -algebra,  $\mathcal{E}$  an operator system contained in  $\mathcal{A}$ , and  $\varphi: \mathcal{E} \rightarrow B(\mathcal{H})$  a completely positive map. Then there exists a completely positive map,  $\psi: \mathcal{A} \rightarrow B(\mathcal{H})$ , extending  $\varphi$ .*

According to Arveson's extension theorem we can always extend a map  $\varphi \in \text{UCP}(\mathcal{E})$  to a map  $\psi \in \text{UCP}(B(\mathcal{H}))$ . In addition, if  $\psi$  is normal, according to Kraus, we can obtain a more explicit description of  $\psi$ .

**Definition A.4.** We say a map  $\psi: B(\mathcal{H}) \rightarrow B(\mathcal{H})$  is normal if  $\psi$  is ultraweakly continuous. Equivalently, for any trace class operator  $T \in B_1(\mathcal{H})$ , take a sequence or more generally a net  $\{x_i\}_{i \in I} \subset B(\mathcal{H})$  and an  $x \in B(\mathcal{H})$ , if  $\text{Tr}(T x_i) \rightarrow \text{Tr}(T x)$  then we have  $\text{Tr}(T \psi(x_i)) \rightarrow \text{Tr}(T \psi(x))$ .

**Theorem A.5** ([9, Theorem 3.3]). *Any linear mapping  $T$  of  $B(\mathcal{H})$  into itself with  $\|TB\| \leq \|B\|$ , which is completely positive and ultraweakly continuous, is of the form*

$$TB = \sum_{k \in K} A_k^* B A_k \quad \text{with} \quad \sum_{k \in K} A_k^* A_k \leq 1.$$

**Theorem A.6** ([9, Theorem 4.1]). *Any completely positive ultraweakly continuous linear mapping  $T$  of a von Neumann algebra  $\mathfrak{A}$  into itself with  $\|TB\| \leq \|B\|$  is of the form*

$$TB = \sum_{k \in K} A_k^* B A_k \quad \text{with} \quad \sum_{k \in K} A_k^* A_k \leq 1.$$

**Remark A.7.** In Theorems A.5 and A.6 above, the sum is in the sense of ultraweakly convergence for infinite  $K$ .



## B Haagerup tensor product

In this appendix, we review some fundamental results about Haagerup tensor product of operator systems; we refer to [6, 11, 12] for more details.

Let  $\mathcal{H}$  be a Hilbert space,  $B(\mathcal{H})$  the set of bounded operators over  $\mathcal{H}$ , and let  $\mathcal{E}, \mathcal{F} \subset B(\mathcal{H})$  be two operator systems. We denote by  $\mathcal{E} \otimes \mathcal{F}$  the space of algebraic tensor product, i.e.,

$$\mathcal{E} \otimes \mathcal{F} = \left\{ \sum_{i=1}^k a_i \otimes b_i \mid a_i \in \mathcal{E}, b_i \in \mathcal{F}, k \in \mathbb{N} \right\}.$$

We define the Haagerup tensor norm  $\|x\|_h$  of  $x \in \mathcal{E} \otimes \mathcal{F}$  as

$$\|x\|_h := \inf \left\{ \left\| \sum a_i a_i^* \right\|^{1/2} \left\| \sum b_i^* b_i \right\|^{1/2} \right\},$$

here the infimum runs over all the expressions of  $x = \sum a_i \otimes b_i$ .

**Definition B.1.** We denote by  $\mathcal{E} \otimes_h \mathcal{F}$  the completion of  $\mathcal{E} \otimes \mathcal{F}$  with respect to the Haagerup tensor norm  $\|\cdot\|_h$ .

**Theorem B.2** ([11, Theorem 17.4]). *Let  $\mathcal{E} \subset \mathcal{E}_1$  and  $\mathcal{F} \subset \mathcal{F}_1$  be operator systems. Then the inclusion of  $\mathcal{E} \otimes_h \mathcal{F}$  into  $\mathcal{E}_1 \otimes_h \mathcal{F}_1$  is a complete isometry.*

**Theorem B.3** ([12, Theorem 5.12]). *Let  $\mathcal{A} \subset B(\mathcal{H})$  and  $\mathcal{B} \subset B(\mathcal{K})$  be  $C^*$ -algebras. We have a natural completely isometric embedding*

$$J: \mathcal{A} \otimes_h \mathcal{B} \rightarrow \text{CB}(B(\mathcal{K}, \mathcal{H}))$$

defined by

$$J(a \otimes b): T \rightarrow aTb,$$

here  $\text{CB}(B(\mathcal{K}, \mathcal{H}))$  denotes the collection of all the completely bounded maps over  $B(\mathcal{K}, \mathcal{H})$ .

According to [5] the Haagerup tensor norm is a  $C^*$ -cross norm:

**Theorem B.4** ([5, Corollary 2.2]). *Suppose  $A$  and  $B$  are  $C^*$ -algebras. For any  $a \in A, b \in B$ ,  $\|a \otimes b\|_h = \|a\| \|b\|$ .*

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